

Broker Empanelment Form



Ghar Ki Baat

Affix
latest passport
size photograph
& sign across
photograph

Full Name of the Applicant (as appearing in supporting identification document)

Mr/Mrs/Miss/M/s

Status Individual Partnership Firm Pvt. Ltd. Co. Public Ltd. Co.
 Hindu Undivided Family Proprietor Others (Specify)

Date of Birth Date of Incorporation
 Partnership Deed (In case of Companies and Firms)

PAN
 Registration No (In case of Companies and Firms)

Are you registered for GST: Yes No (If yes, then please fill Annexure 'C' of this form mandatorily)

Present Address of Applicant for all communications (attach proof of Address)

Company Name
 Flat No./Bldg Name
 City PIN Code
 State Country
 Tel. (R) Fax
 Tel. (O) Ext. No
 E-mail
 Mobile

Permanent Address of Applicant: (Please tick In case permanent address is the same as present)

Company Name
 Flat No./Bldg Name
 City PIN Code
 State Country
 Tel. (R) Fax
 Tel. (O) Ext. No
 E-mail
 Mobile

Bank Account Details:

Account Holder's Name

Savings Current Account No.

Bank Name Branch

IFSC MICR

Other Details (In case of Individuals)

Occupation

- Service Retired
- Business
- Professional
- Housewife
- Others (please specify)

Marital Status

- Single
- Married
- Others (please specify)

Education

- SSC/HSC Graduate
- Post-Graduate
- Professional
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In Case of Partnership Firm/Company

No. of years In existing business _____ No. of employees In your office: _____
No. of sub-agents employed by your office: _____ No. of partners in the firm: _____
Name of partners/managing partners (1) _____ (2) _____
Name of the person who will co-ordinate with PNB Housing: _____

REFERENCES

1) Name _____
Address _____

Tel. (R) _____ Fax _____
Tel. (O) _____ Ext. No _____
E-mail _____
Mobile _____

2) Name _____
Address _____

Tel. (R) _____ Fax _____
Tel. (O) _____ Ext. No _____
E-mail _____
Mobile _____

Please submit the valid document as Proof of Identity and Proof of Address as per the Annexure B.

DECLARATION

I/We hereby declare that above information is true and to the best of my/our knowledge and belief. I/We hereby authorize you to credit the brokerage/incentive payable to me in the above mentioned bank account. I/We also accept the terms and conditions mentioned in Annexure A.

Place _____
Date _____

Signature of Applicant

FOR OFFICE USE ONLY

Broker Category (Please ✓): Retail Broker Corporate Broker
Relationship Manager's name _____ Branch name _____
Relationship Manager's Signature _____ Branch Business Head's Signature _____

Annexure A

- The Broker shall cover all deposit schemes of PNB Housing Finance Limited (PNBHFL) as are in force or may be Introduced from time to time and wherein deposits are required to be collected through Brokers/Agents unless expressly excluded by the PNBHFL by Circular or otherwise (The 'Broker' and 'PNBHFL' shall collectively be referred to as 'the Parties' and Individually as 'the Party').
- The Broker is not authorized to receive money or cash on behalf of PNBHFL and issue any receipt.
- The Broker shall carry out all the Instructions and directions Issued by PNBHFL of persons duly authorized by PNBHFL from time to time and promptly correspond with PNBHFL In all matters referred to the Broker.
- The Broker shall not do any act which infringes any of the applicable provisions of the Companies Act, 2013 (& the provisions of Companies Act, 1956 to the extent in force).
- The Broker is not related to any staff member of PNBHFL as contemplated under the provisions of Sec 6 of the Companies Act, 1956.
- PNBHFL shall be entitled to revise or reduce the rate/rates of commission at its discretion from time to time.
- The Broker will not be entitled to any payment or any claim other than commission from above said work done by the broker permissible under Law. No cost, charges, expenses or loss suffered by the Broker Inconnection with and In course of brokership shall be paid by PNBHFL.
- The payment of commission shall be done on a monthly basis and subject to the submission of proper invoice. The payment of the commission by PNBHFL to the Broker shall be subject to deduction of applicable taxes under the Income Tax Act, 1961 or other applicable laws.
- The Broker shall give its name and the broker code number in the space provided in the application form. No claim for commission will be entertained by PNBHFL unless the application is made in the prescribed application form bearing the name and code.
- No Brokerage/Commission shall be payable to the Broker on deposits received directly by the PNBHFL for which the Broker has not acted as Broker.
- All Information provided by PNBHFL to Broker In connection with this arrangement shall be of a strictly private and confidential nature and shall be treated as confidential by the Broker.
- The Broker shall indemnify and keep PNBHFL harmless against and in respect of any loss, claim cost, charges, expenses, damages, whether direct or indirect whatsoever which the PNBHFL may have to incur or called upon to pay on account of breach of the terms & conditions contained herein the course of the brokership. The broker further agrees to indemnify and keep PNBHFL from claims & demands made and actions and proceedings taken against PNBHFL for causing any loss to the depositor on account of the broker holding the application for deposit, maturity payment, Form 15 G/H etc for inordinate period.
- The Broker hereby agrees and consents that PNBHFL can vary, add, amend and modify the Terms and Conditions at its sole discretion.
- PNBHFL shall deal with the Broker on principle to principle basis nothing contained herein shall be construed as to create deemed to create any association, partnership or joint venture or employer-employee relationship or principal-agent relationship in any manner whatsoever between the Parties.
- The Parties shall be governed by India Laws and the court of Delhi shall have exclusive jurisdiction In respect of matters and disputes arising from this document.

Signature of the Applicant

Annexure B Document to be Submitted

(*Pan Card is mandatory)

Individual

Address Proof (Any one):

- | | |
|---|--|
| <input type="checkbox"/> Pan Card | <input type="checkbox"/> Latest Telephone Bill (Landline/Mobile Phone)
(Not more than 3 months old) |
| <input type="checkbox"/> Passport (Validity of more than 6 months) | <input type="checkbox"/> Latest Electricity/Gas Bill (Not more than 3 months old) |
| <input type="checkbox"/> Voter's Identity Card | <input type="checkbox"/> Latest Bank Account Statement (Last 6 months) |
| <input type="checkbox"/> Driving License (Validity of more than 6 months) | <input type="checkbox"/> Passport (Validity of more than 6 months) |
| <input type="checkbox"/> Photo Debit/Credit Card | <input type="checkbox"/> Driving License (Validity of more than 6 months) |
| <input type="checkbox"/> UID (Unique Identification) Card Issued by the Government of India | <input type="checkbox"/> Ration Card |
| | <input type="checkbox"/> Letter from any Recognized Public Authority |
| | <input type="checkbox"/> Voter's ID Card |
| | <input type="checkbox"/> UID (Unique Identification) card issued by the Government of India. |

Trust / Society / Club

All Documents

Any one

- | | |
|---|--|
| <input type="checkbox"/> Copy of Resolution | <input type="checkbox"/> Latest Telephone Bill (Landline/Mobile Phone)
(Not more than 3 months old) |
| <input type="checkbox"/> Copy of Trust Deed | <input type="checkbox"/> Latest Electricity/Gas Bill (Not more than 3 months old) |
| <input type="checkbox"/> Copy of Power of Attorney granted to operate | <input type="checkbox"/> Latest Bank Account Statement (Last 6 months) |
| <input type="checkbox"/> Copy of Operation Instructions | <input type="checkbox"/> Letter from any Recognized Public Authority |
| <input type="checkbox"/> Copy of PAN Card of Trust | <input type="checkbox"/> Copy of Goods & Services Tax Registration certificate |

Public / Private Limited Companies

All Documents

Any one

- | | |
|---|--|
| <input type="checkbox"/> Copy of Memorandum & Articles of Association | <input type="checkbox"/> Latest Telephone Bill (Landline/Mobile Phone)
(Not more than 3 months old) |
| <input type="checkbox"/> Certificate of Incorporation | <input type="checkbox"/> Latest Electricity/Gas Bill (Not more than 3 months old) |
| <input type="checkbox"/> List of Directors and Office Bearers | <input type="checkbox"/> Latest Bank Account Statement (Last 6 months) |
| <input type="checkbox"/> Operational Mandate & Specimen Signature | <input type="checkbox"/> Letter from any Recognized Public Authority |
| <input type="checkbox"/> Copy of Pan Card of Company | <input type="checkbox"/> Copy of Goods & Services Tax Registration certificate |

Partnership Firms

All Documents

Any one

- | | |
|---|--|
| <input type="checkbox"/> Declaration of Partnership by Partners | <input type="checkbox"/> Latest Telephone Bill (Landline/Mobile Phone)
(Not more than 3 months old) |
| <input type="checkbox"/> Name & Addresses of Partner | <input type="checkbox"/> Latest Electricity/Gas Bill (Not more than 3 months old) |
| <input type="checkbox"/> Operational Mandate & Specimen Signature | <input type="checkbox"/> Latest Bank Account Statement (Last 6 months) |
| <input type="checkbox"/> Pan Card Copy of Partners/Partnership | <input type="checkbox"/> Letter from any Recognized Public Authority |
| | <input type="checkbox"/> Copy of Goods & Services Tax Registration certificate |

Proprietor

All Documents

Any one

- | | |
|--|--|
| <input type="checkbox"/> Name Address & Photograph of Proprietor | <input type="checkbox"/> Latest Telephone Bill (Landline/Mobile Phone)
(Not more than 3 months old) |
| <input type="checkbox"/> Pan Card Copy of Proprietor | <input type="checkbox"/> Latest Electricity/Gas Bill (Not more than 3 months old) |
| <input type="checkbox"/> Proprietorship declaration form | <input type="checkbox"/> Latest Bank Account Statement (Last 6 months) |
| | <input type="checkbox"/> Ration Card |
| | <input type="checkbox"/> Letter from any Recognized Public Authority |
| | <input type="checkbox"/> Copy of Goods & Services Tax Registration certificate |

